



**NSW  
Resources  
Regulator**

# **Business Activities Overview**

**2020 - 2021**



# 2020 - 2021 activities Overview

This annual business activities overview shows key compliance and enforcement activities undertaken by the NSW Resources Regulator across NSW's mining and petroleum sectors. It profiles regulatory activities we performed under work, health and safety and Mining Act legislation.

## Key safety activities

We are the **state's work health and safety regulator for mines and petroleum sites.**

# 7,111

**Active mines** (as at 30 June 2021)

The NSW Resources Regulator regulates safety activities in the following industry sectors



**118**

COAL MINES



**62**

LARGE MINES  
metalliferous and  
large quarries



**2,654**

SMALL MINES  
metalliferous,  
small quarries &  
other gemstones



**181**

PETROLEUM &  
GEOTHERMAL  
SITES  
including  
exploration



**3,342**

OPAL MINES



**820**

EXPLORATION  
SITES  
excluding  
petroleum and  
geothermal

**2** Work-related deaths

**2** Non-work related deaths

**315** Dangerous incidents

**1,457** High potential incidents

**2,061\***  
Safety Incident  
Notifications  
received

Serious injury or illness **103**

Medical treatment injury or illness **170**

Explosives Reg notifications **12**

\* by requirement to report as notified by mines. The actual number of incidents, injuries and illnesses recorded may differ from original incident notifications following assessment of the notified event.

**222**



Safety compliance concern allegations received

**216**



Safety compliance concern potential breaches alleged

**8**



Safety compliance concern breaches sustained

**1,086**

Other safety notifications and requests for service received

**331**

Safety competence certificates granted

**676**

Safety mining authorisations applications granted

**26**

Safety mining authorisations applications NOT granted/ approved

**184**

Safety high risk applications received

# 2020 - 2021 Activities Overview

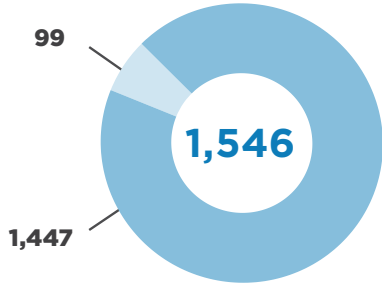
# 3,835

Safety Assessments conducted

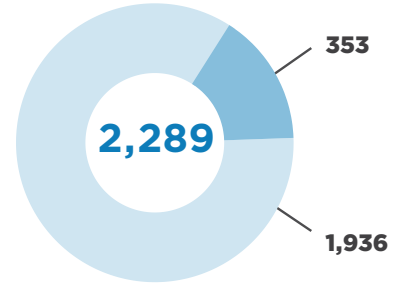
- Proactive
- Reactive



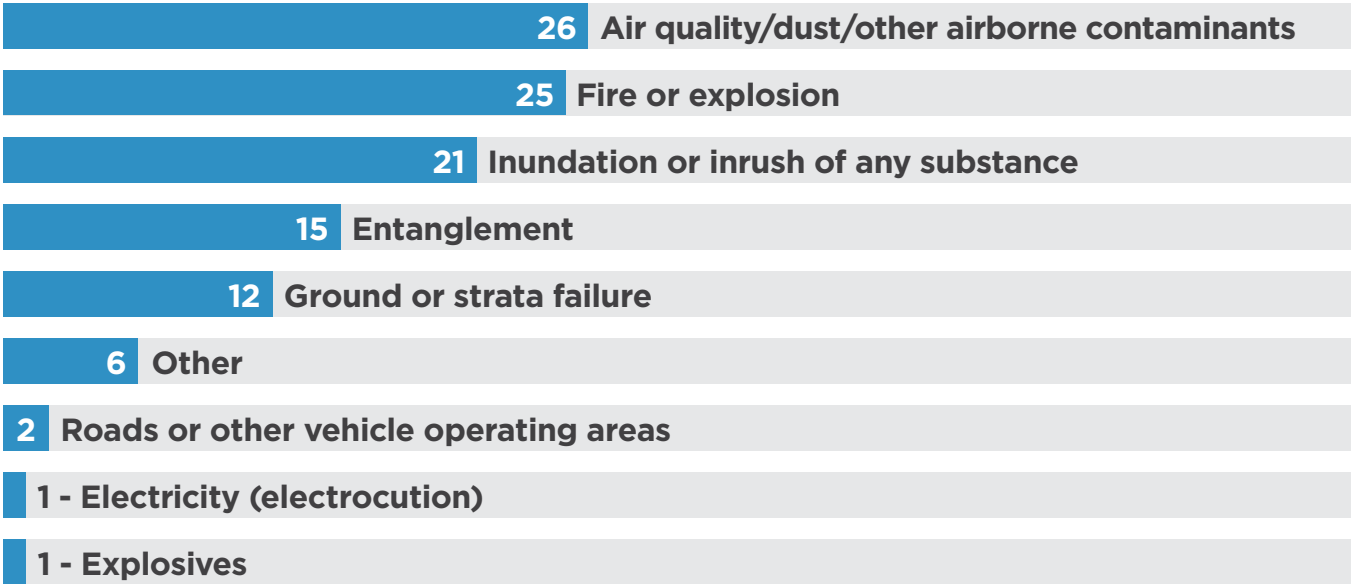
DESKTOP ASSESSMENTS



SITE ASSESSMENTS



## 109 Targeted assessment program — site assessments



- 1,493** WHSA s191 improvement notices
- 186** WHSA s195 prohibition notices
- 12** WHSA s198 non-disturbance notices
- 764** WHS(MPS)A s23 notices of concerns

## 1 Causal safety investigation completed

Collapse of shaft collar ([IIR20-07](#))

# 2020 - 2021 activities overview

## Key Mining Act activities

We conduct compliance and enforcement activities under the *Mining Act*, with a focus on **mine rehabilitation**.

**2,156** current mining titles (as at 30/6/2021)



**572**  
COAL



**1,584**  
MINERALS

**3,337** current small scale titles (as at 30/6/2021)



**3,184**  
LIGHTNING RIDGE



**153**  
WHITE CLIFFS

Source: Titles Administrations System (TAS) generated 30/6/2021.  
Petroleum titles excluded.

Source: Opal Claims System (OCS) generated 30/6/2021.

**134**



**Mining Act compliance concern**  
allegations received

**326**



**Mining Act compliance concern**  
potential breaches alleged

**287**



**Mining Act compliance concern**  
breaches sustained



**287** Mining Act compliance breaches sustained

**168** Breach of conditions

**53** Failure to comply with notices, orders, directions or undertakings

**22** Late payments

**14** Other

**9** Aiding and abetting

**8** Unauthorised activity

**7** - Late or unsatisfactory reporting

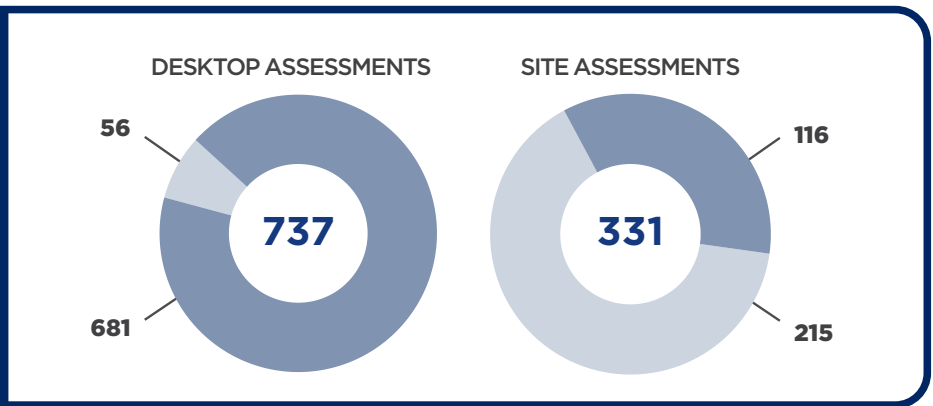
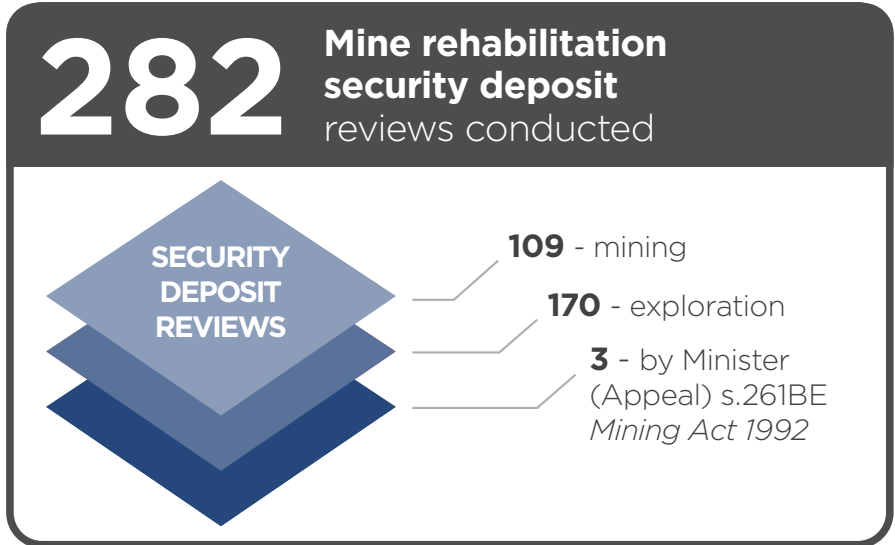
**6** - False or misleading information

**1**

**Investigation Information Release published**

Investigation into illegal mining ([IIR20-16](#))

# 2020 - 2021 activities overview



## 3 Rehabilitation Information Releases published

Horsley Park Quarry rehabilitation ([RIR20-06](#))

Catherine Hill Bay coal preparation plant and coal bin rehabilitation achieves residential, recreational and open space land uses ([RIR21-01](#))

Rehabilitation of Western Emplacement Area at Ravensworth Operations ([RIR21-02](#))

## Our enforcement actions

### SAFETY

ISSUED

2

OFFICIAL  
CAUTIONS

ACCEPTED

2

ENFORCEABLE  
UNDERTAKINGS

2 enforceable undertakings notice of variations accepted

2 enforceable undertakings finalised

6 prosecutions **commenced**

5 prosecutions **finalised**



### MINING ACT

ISSUED

224

OFFICIAL  
CAUTIONS

ISSUED

17

PENALTY  
NOTICES

2 enforceable undertakings accepted

1 enforceable undertaking rejected

ISSUED 1 cancellation of title



2 prosecutions **commenced**

2 prosecutions **finalised**



## Engaging with industry



14

Safety alerts and bulletins



9

Investigation reports



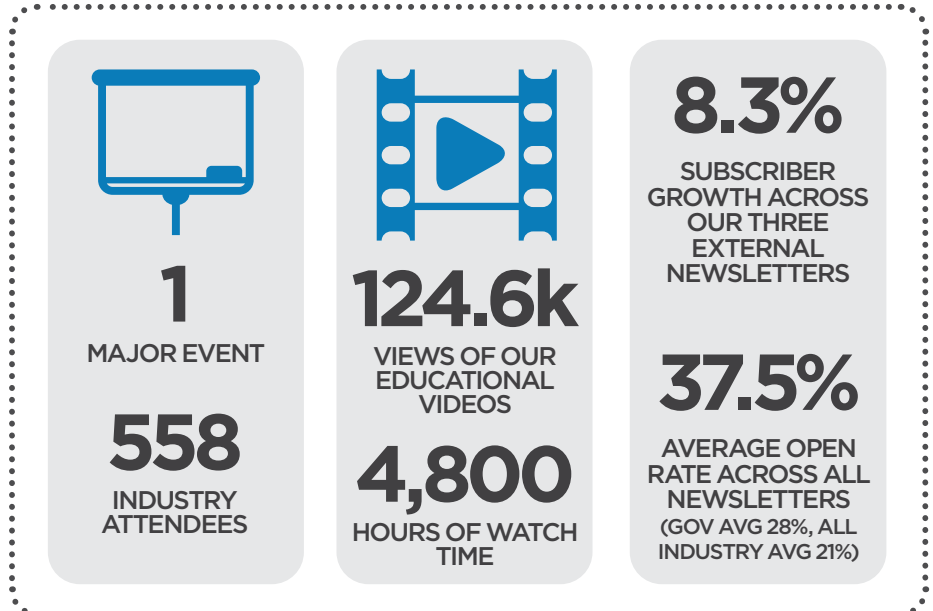
17

Information releases



4

Technical reference guides published

**Engaging with industry**

For further information regarding these activities please refer to [Monthly business activities report June 2021](#).

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